

NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, DC 20231

Attorney Docket No. PHX-0038
First Named Inventor: GAO, Renyuan
Express Mail Label No.: EF285319787US
Total Pages of Transmittal Form: 2

Transmitted herewith for filing is the **non-provisional** utility patent application entitled:

Polymer Optical Waveguides on Polymer Substrates

which is:

an ☒ Original; or

a ☐ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP) of prior Application No. _____, filed _____.

This non-provisional patent application is based on Provisional Patent Application No. _____, filed _____.

Enclosed are:

- ☒ Specification (including Abstract) and claims: 17 pages.
- ☒ Newly executed/unexecuted Declaration (original/copy).
- ☐ Copy of Declaration from prior application.
- ☐ Separate Power of Attorney (including 37 CFR 3.73(b) statement, if applicable).
- ☒ 1 sheet of drawings (formal/informal) plus one copy.
- ☐ Microfiche computer program (Appendix).
- ☒ Under PTO-1595 cover sheet, an assignment of the invention.

Assignee: Photon-X, Inc.
Malvern, PA

☐ Certified copy of Application No. _____, filed, is filed:

☐ herewith or ☐ in prior application.

☒ Applicant(s) is/are claiming Small Entity Status under 37 CFR 1.27.

☐ Preliminary Amendment.

☐ Information Disclosure Statement, PTO-1449 (with cited references).

☐ Other:

400454-10701

The filing fee has been calculated as shown below:

| | | | SMALL ENTITY | | | LARGE ENTITY | |
|-----------------------------------|--------------|--------------|------------------------|-----------------|----|------------------------|-----------|
| CLAIMS | NO. FILED | NO. EXTRA | BASIC FEE: \$370.00 | | | BASIC FEE: \$740.00 | |
| Total | 26-20 = | 6 | X 9 | \$54.00 | OR | X 18 | \$ |
| Independent | 2- 3 = | | X 40 | \$ | OR | X 80 | \$ |
| Multiple Dependent Claims Present | | | \$135 | \$ | OR | \$270 | \$ |
| | | | TOTAL | \$424.00 | OR | TOTAL | \$ |

☒ A check in the amount of \$424.00 is enclosed.

☒ A check in the amount of \$40.00 to record the Assignment is enclosed.

☒ If the filing of any paper during the prosecution of this application requires an extension of time in order for the paper to be timely filed, applicant(s) hereby petition(s) for the appropriate extension of time pursuant to 37 C.F.R. § 1.136(a).

CORRESPONDENCE ADDRESS:

2 Nov. 2001
Date

By: [Signature]
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26260

PATENT TRADEMARK OFFICE

PHOTON-X REGISTRATION NO. 41,964